Attorney Docket No.: 07917-0269001 Client Ref. No.: UMMS 03-24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lu et al. Art Unit : 1648 Serial No.: 10/728,195 Examiner: Bo Peng Conf. No.: 7308

Filed : December 3, 2003 Title

: POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND

VACCINATION METHODS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Copies of all listed references are enclosed. The items of information contained in this statement were cited in a communication from a foreign patent office, the communication being dated January 6, 2010. As permitted under MPEP 609.04(b)(V), a copy of WO 92/22654 (12/23/1992), which is an English family member of JP H06-501851, and WO 97/48370 (12/24/1997), which is an English family member of JP 2000-516445, are enclosed in lieu of translations of the Japanese applications. A copy of the communication from the foreign patent office is also enclosed with an English translation.

This statement is being filed within two months of the date of the communication. No fee is believed to be due. Apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 07917-0269001.

Respectfully submitted,

Date: 3-4-2010

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